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IN THE UNITED STATES DISTRICT COURT
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               FOR THE EASTERN DISTRICT OF TEXAS
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                       MARSHALL DIVISION
   FRACTUS, S.A.,
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   PLAINTIFF,
                               ) ( CIVIL ACTION NO.
6
                               ) ( 2:18-CV-135-JRG
7 VS.
                               ) ( MARSHALL, TEXAS
8
                               ) (
  AT&T MOBILITY LLC,
                               ) ( SEPTEMBER 20, 2019
                               ) ( 9:04 A.M.
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  DEFENDANT.
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                       MARSHALL DIVISION
16 FRACTUS, S.A.,
                               ) (
17 PLAINTIFF,
                               ) ( CIVIL ACTION NO.
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                               ) ( 2:18-CV-137-JRG
19 VS.
                               ) ( MARSHALL, TEXAS
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   T-MOBILE US, INC., ET AL., ) ( SEPTEMBER 20, 2019
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7	VS.	) (	MARSHALL, TEXAS
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9	VERIZON COMMUNICATIONS,	) (	
10	INC., ET AL.,	) (	SEPTEMBER 20, 2019
11	DEFENDANTS.	) (	9:04 A.M.
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16	FRACTUS, S.A.,	) (	
17	PLAINTIFF,	) (	CIVIL ACTION NO.
18		) (	2:19-CV-255-JRG
19	VS.	) (	MARSHALL, TEXAS
20		) (	
21	T-MOBILE US, INC., ET AL.,	) (	SEPTEMBER 20, 2019
22	DEFENDANTS.	) (	9:04 A.M.
23	PRE-TRIAL HEARING		
24	BEFORE THE HONORABLE JUDGE RODNEY GILSTRAP		
2 1	BEFORE THE HONORABI	LE JUI	OGE RODNEY GILSTRAP

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1 APPEARANCES:
  FOR THE PLAINTIFF: (See Attorney Attendance Sheet docketed
                        in minutes of this hearing.)
3
   FOR THE DEFENDANTS: (See Attorney Attendance Sheet docketed
                        in minutes of this hearing.)
5
 6
   COURT REPORTER:
                       Shelly Holmes, CSR, TCRR
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                       United States District Court
                       Eastern District of Texas
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                       Marshall Division
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                       Marshall, Texas 75670
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    (Proceedings recorded by mechanical stenography, transcript
   produced on a CAT system.)
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- 1 COURT SECURITY OFFICER: All rise.
- THE COURT: Be seated, please.
- 3 This is a continuation of the pre-trial hearing in
- 4 the Fractus versus AT&T Mobility matter, what we've
- 5 commonly referred to on the record as the CommScope trial.
- 6 We adjourned yesterday evening after having -- we
- 7 recessed, rather, yesterday evening, having covered
- 8 pre-trial matters but for the issue of disputed exhibits.
- 9 The Court directed the parties to continue to meet
- 10 and confer overnight in an attempt to narrow and streamline
- 11 any surviving exhibit disputes.
- 12 It appears we have all the same faces in the
- 13 courtroom we had yesterday. I won't formally call for
- 14 announcements on the record because it appears everybody is
- 15 well represented.
- But I will ask at this juncture for an update from
- 17 the parties as to where we stand on remaining disputes
- 18 related to the pre-admission of exhibits for the trial.
- MS. RUIZ: May I, Your Honor?
- THE COURT: Please.
- 21 MS. RUIZ: Good morning, Your Honor. Gabriela
- 22 Ruiz with Kobre & Kim for Fractus.
- Your Honor, after meeting and conferring last time
- 24 on Plaintiff's objections, we've resolved all of the
- 25 buckets, except for three of them that remain in dispute.

- 1 THE COURT: These are Plaintiff's objections to
- 2 Defendants' proposed exhibits?
- 3 MS. RUIZ: Correct, Your Honor.
- 4 And on Defendants' objections to Plaintiff's
- 5 proposed exhibits, we've resolved all but five buckets
- 6 which remain in dispute.
- 7 THE COURT: All right. Why don't you identify the
- 8 eight remaining buckets of objection -- of objected to
- 9 exhibits in each of these two categories for me?
- 10 MS. RUIZ: Yes, Your Honor.
- 11 On the Defendants' objections to Plaintiff's
- 12 exhibits, Bucket 18, there are three exhibits in that
- 13 bucket that remain in dispute. It's the one called
- 14 declarations.
- Bucket 23A, which is Dr. Long's testing and
- 16 simulation data, 18 exhibits in that bucket remain in
- 17 dispute.
- 18 23B, Dr. Long's summary exhibits, 12 exhibits in
- 19 that bucket remain in dispute.
- 20 Bucket 27, expert summaries, two exhibits in that
- 21 bucket remain in dispute.
- THE COURT: You have one more?
- MS. RUIZ: Oh, one more, yes, Your Honor.
- 24 Bucket 41, the carrier purchase contracts, two --
- 25 two exhibits in that bucket -- I'm sorry, three exhibits in

- 1 that bucket remain in dispute.
- 2 Oh, we withdrew one.
- 3 MR. BREMER: Yes.
- 4 MS. RUIZ: Two exhibits, Your Honor.
- 5 THE COURT: Okay.
- 6 MS. RUIZ: Plaintiff's objections to Defendants'
- 7 exhibits, Bucket 3, non-party technical documents, one
- 8 exhibit remains in dispute.
- 9 On Bucket 10, which is expert materials, it's
- 10 mostly resolved, Your Honor, but there's a carve-out for
- 11 certain exhibits that are testing results which will -- the
- 12 parties agree would rise or fall with the decision on
- 13 Bucket 23A. We would agree that the Court's decision on
- 14 Dr. Long's testing results would govern this bucket.
- And on Bucket 18, on Fractus patents, two
- 16 exhibits remain in dispute. It's actually one exhibit,
- 17 but there are two -- two versions of that exhibit, Your
- 18 Honor.
- 19 THE COURT: All right. Let me ask -- Mr. Bremer,
- 20 you seem to be up and down in your chair. What can you add
- 21 or subtract?
- MR. BREMER: Sorry, Your Honor. I was just going
- 23 to consult with her for a minute.
- 24 (Off the record discussion.)
- MS. RUIZ: Yes.

- 1 MR. BREMER: I agree.
- THE COURT: Well, as long as you're up, can you
- 3 confirm that that's an accurate representation from the
- 4 Defendants' side of the room?
- 5 MR. BREMER: Yes, Your Honor, it is accurate.
- 6 THE COURT: Okay. Well, it appears, given that
- 7 you've told me that Bucket 23A regarding objections from
- 8 Defendant to Plaintiff's exhibits would govern and control
- 9 Bucket 10 of Plaintiff's objections to Defendants'
- 10 exhibits, then we should apparently start with the
- 11 Plaintiff's exhibits and the Defendants' objections
- 12 thereto.
- Anyone see a reason not to do it that way? Then
- 14 we'll start -- we'll start on that side of the ledger, so
- 15 to speak, and let's begin with Bucket 18 regarding, again,
- 16 Defendants' objections to Plaintiff's exhibits.
- 17 Let me hear from Defendant as to what your
- 18 objections are.
- 19 And, again, it's the Court's assumption that
- 20 whether there are one or multiple documents in these
- 21 buckets, that they all rise or fall in an area of discrete
- 22 guidance that I can give you across the board, and that's
- 23 what I want to hear about.
- 24 MR. COX: I believe that's accurate, Your Honor.
- 25 Brady Cox for Defendants here talking about this

- 1 category that we've labeled declarations.
- 2 These are declarations made by various
- 3 representatives of Verizon or AT&T to the FCC in pursuit
- 4 of purchasing additional spectrum, which is -- and I know
- 5 you raised, you know, spectrum spend is a thing that, you
- 6 know, was a little ambiguous yesterday, and I can do my
- 7 best to explain kind of what it is, is the various
- 8 carriers, you know, can use these different frequency
- 9 ranges across the country, but they need to purchase
- 10 licenses in various discrete geographic regions -- you
- 11 know, like Harrison County, Verizon has to purchase a
- 12 license to use 1900 to 1920 megahertz and 1960 to 1980
- 13 megahertz and so on.
- 14 And in pursuit of Verizon's application to
- 15 purchase additional spectrum, they submitted this
- 16 declaration -- if I could use the ELMO, Ms. Lockhart, thank
- 17 you -- of -- of William Stone. And we think that this is
- 18 within your ruling on spectrum spend on the MIL issue
- 19 yesterday where the --
- 20 THE COURT: Let me stop -- let me stop you for a
- 21 minute.
- MR. COX: Sure.
- 23 THE COURT: Is -- is this declaration proposed by
- 24 Plaintiffs to be admitted as an exhibit during the trial?
- MR. COX: That's right.

- 1 THE COURT: Is Mr. Stone going to testify in this
- 2 case? If so, why do we have a declaration? And if
- 3 Mr. Stone is not going to testify in this case, how do I
- 4 possibly admit an out-of-court statement in writing from
- 5 somebody that's not going to be here to be cross-examined?
- I mean, the first question in my mind is how could
- 7 this possibly be an appropriate exhibit for admission under
- 8 the rules of evidence?
- 9 MR. COX: I can say what I think they're going to
- 10 say. You know, he is a Verizon witness, and so they would
- 11 say that's --
- 12 THE COURT: Well --
- MR. COX: -- but I'll let them --
- 14 THE COURT: -- why don't we let them say what
- 15 they're going to say.
- MR. SAUTER: Thank you, Your Honor.
- So in terms of hearsay, we would say it's an
- 18 admission by a party opponent who's not here to testify.
- 19 THE COURT: So I gather this gentleman, wherever
- 20 he is, is not going to be here during the trial?
- 21 MR. SAUTER: He -- he is a -- he's a Verizon
- 22 employee. He will not be here to testify. For that
- 23 particular exclusion -- exception from hearsay, it's
- 24 actually not even required. It is a -- an admission by a
- 25 party opponent, but he won't be here, to my knowledge.

- 1 THE COURT: Has he been deposed? Will there be
- 2 deposition testimony offered from him?
- 3 MR. SAUTER: We do not have deposition testimony
- 4 of him, Your Honor.
- 5 THE COURT: Okay. Then let's return to whatever
- 6 the Defendants' basis to object is.
- 7 MR. COX: And -- and I will say that Verizon --
- 8 Fractus initially noticed the deposition of Mr. Stone, and
- 9 we tabled that as an apex witness deposition, and there was
- 10 never follow-up on that.
- 11 THE COURT: Well, we are where we are, counsel.
- 12 We can't go backwards. Let's talk about what we have and
- 13 whether it is or isn't admissible under the rules.
- 14 MR. COX: Right. And so regardless of the hearsay
- 15 and whether he could come in, we think that this is also
- 16 irrelevant. The only mention of this in the expert report
- 17 of -- of Mr. Mills is, you know, he says: As a result,
- 18 network operators throughout the world have seen
- 19 significant increases in mobile data utilization --
- 20 THE COURT: Slow -- slow down. I know you can
- 21 read fast, but slow down.
- MR. COX: I will. Thank you, Your Honor.
- As a result, network operators throughout the
- 24 world have seen significant increases in mobile data
- 25 utilization in recent years. That's the only proposition

- 1 for which this is cited in the expert report. And it goes
- 2 on about, you know, the -- the explosive --
- 3 THE COURT: Pull the -- pull the page up on the
- 4 ELMO. Let me see what that footnote relates to. Okay. Go
- 5 ahead. It's -- it clearly --
- 6 MR. COX: It does cite to it.
- 7 THE COURT: It does cite to it in the footnote.
- 8 Okay.
- 9 MR. COX: And -- but the proposition for which
- 10 it's cited, you know, they -- we would stipulate to that.
- 11 There have been significant increases in mobile data
- 12 utilization, but the purchase of additional spectrum spend
- 13 is not a thing that's relevant to the patents at issue in
- 14 this case. There's been no argument by any expert that
- 15 these patents somehow increase capacity or allow Verizon to
- 16 work on frequencies that they couldn't work on before.
- 17 You know, Verizon could always have worked on
- 18 these different spectra, whether they were using single
- 19 band or multiband antennas. And so I just don't think it's
- 20 relevant to anything at issue in this case, Your Honor.
- 21 THE COURT: So your objection -- I assume you
- 22 don't concede to the admission against interest exception
- 23 to the hearsay rule, but that notwithstanding, your primary
- 24 argument is relevance?
- MR. COX: That's correct, Your Honor.

- 1 THE COURT: Is there something in the declaration
- 2 that you view other than -- other than the substance of
- 3 what's said and/or admitted to, is there something else
- 4 that's prejudicial or inflammatory in any way that you're
- 5 concerned about in the declaration?
- 6 MR. COX: There is, Your Honor.
- 7 So, for example, they talk about the number of
- 8 subscribers that are on the Verizon network and how that
- 9 number is increasing and how they are -- the number of
- 10 bandwidth they are sucking down the network is increasing
- 11 over time. And I think those are all kind of large
- 12 numbers, and Plaintiff wants to paint a picture of, you
- 13 know, their invention allows, you know, you to download
- 14 video and -- and -- and that's just not what these patents
- 15 are about, and we don't want that implication made.
- 16 THE COURT: Well, it's always a point of interest
- 17 to the Court as to whether something like this is offered
- 18 for a purpose, that purpose is objected to, and then in
- 19 trial, it gets used for some completely different purpose,
- 20 and that's what I'm asking about.
- 21 Let me ask Plaintiffs: Is there a need for the
- 22 admission of the entirety of this document, or is there
- 23 just a section of it that addresses the point you're
- 24 concerned about?
- 25 And I'm not assuming you have some hidden purpose

- 1 you're going to pull it out and use it for as a surprise or
- 2 ambush. I just have to -- this is the time for me to ask
- 3 those questions.
- 4 MR. SAUTER: Absolutely. If I may approach?
- 5 THE COURT: Yes, you may.
- 6 MR. SAUTER: I can show you -- I actually think
- 7 this document is highly relevant for exactly the reasons
- 8 that -- that counsel said, which is it describes why the
- 9 carriers want to go out and acquire more spectrum. They
- 10 need it. And it goes directly to the value of Fractus's
- 11 invention to the carriers. Recall, it allows the carriers
- 12 to use multiple frequency bands on antenna arrays.
- So the more demand that the carriers have, the
- 14 more capacity it needs, the more it needs Fractus's
- 15 invention. That is at the heart of our damages theory
- 16 as -- as a whole. But putting that aside, there are
- 17 actually -- I can just point Your Honor specifically to two
- 18 paragraphs in this declaration that I think are highly
- 19 important.
- 20 And -- and before I do, you may recall from our
- 21 discussion during the Daubert briefing, the concept of
- 22 future proofing. So one of the things that the carriers
- 23 value about Fractus's invention is it allows them to put up
- 24 antennas that it can use on multiple frequency bands in the
- 25 future even if they're not today.

- 1 And so let me -- Paragraphs 12 and 13 of this
- 2 declaration go exactly to this point. Mr. Stone
- 3 acknowledges that it takes a long time even once they
- 4 get --
- 5 THE COURT: Let -- let me just read it quickly,
- 6 those paragraphs.
- 7 MR. COX: Your Honor, may I approach?
- 8 THE COURT: I can see it on the screen.
- 9 MR. COX: Okay.
- 10 THE COURT: Okay. Anything further from
- 11 Defendants with regard to this exhibit?
- MR. COX: One slight clarification to one exhibit
- 13 in this bucket. 2838 is a declaration by an AT&T employee,
- 14 so that -- hearsay because AT&T is not a party to this
- 15 case, would extra apply then --
- 16 THE COURT: Okay.
- 17 MR. COX: -- for that exhibit specifically.
- 18 THE COURT: Well, as to this declaration and any
- 19 similar declaration from a party to this case and given
- 20 what's been argued, I think the -- I think the threshold of
- 21 appropriate relevance has been met, so I'm going to
- 22 overrule your relevance objection. And given that this is
- 23 from an officer of a party to the case, I'm going to
- 24 overrule your hearsay objection.
- 25 So this is pre-admitted, and everything else of a

- 1 similar nature in this category is pre-admitted. If you
- 2 want to show me the particular AT&T document which
- 3 identifies the declarant, we can talk about that.
- 4 MR. COX: Here we have Exhibit 2838, Your Honor,
- 5 and it's by --
- 6 THE COURT: I see it.
- 7 MR. COX: -- William -- William Hogg of AT&T
- 8 Services, Inc., which is not a party to this case.
- 9 THE COURT: What's Plaintiff's response to the
- 10 hearsay objection regarding this declaration?
- 11 MR. SAUTER: Your Honor, we would ask the Court to
- 12 allow this document to be admitted under the residual
- 13 hearsay objection because there are circumstantial
- 14 quarantees of trustworthiness. It was submitted by AT&T in
- 15 connection with its attempt to acquire T-Mobile, so it's a
- 16 declaration that speaks about the need for that
- 17 transaction. Similar subject matter is the Stone
- 18 declaration that we just looked at.
- 19 So it goes to a material fact. And the probative
- 20 value of it, I submit, because it is a sworn statement by
- 21 AT&T talking about the need for spectrum, it outweighs any
- 22 prejudicial value which counsel still has not identified at
- 23 all.
- 24 THE COURT: Substantively, is this repetitive of
- 25 what's in the other declarations? It's just from AT&T?

- 1 MR. SAUTER: It's the AT&T version, Your Honor.
- 2 THE COURT: Okay. And is there a specific
- 3 subsection of Rule 803 you want to cite to me?
- 4 MR. SAUTER: If I may return to my desk, I can
- 5 tell you. One moment.
- 6 MR. COX: And while he's looking for that, Your
- 7 Honor, can I just add one point?
- 8 THE COURT: Go ahead.
- 9 MR. COX: I think an issue -- whether this comes
- 10 in or not is -- what witness it comes in through, since
- 11 there'll be no AT&T witness at trial to testify or rebut
- 12 anything in this declaration, I'm not sure what witness it
- 13 comes in through, except to the extent that it is cited in
- 14 an expert report.
- 15 MR. SAUTER: The rule, Your Honor, is 807(a) is
- 16 the residual hearsay exception, and I -- I believe this is
- 17 cited in Mr. Mills's report. I can find a -- a citation to
- 18 confirm that.
- 19 MR. COX: I'm sure it is in the --
- THE COURT: Yeah, I'm sure it is, too.
- 21 Well, I'm going to sustain the objection as to the
- 22 AT&T declaration. I don't find that it's more probative
- 23 for the point for which it's offered than other evidence,
- 24 as required by Rule 807. And, quite honestly, it's one
- 25 more opportunity to confuse the jury with somebody who's

- 1 not in the courtroom.
- 2 And given that it's the AT&T version of what's
- 3 already in this bucket from the other named and present
- 4 Defendants, I'm going to sustain the objection to that.
- 5 But the remainder of the documents, I'll overrule
- 6 Plaintiff's objection, and they're pre-admitted, all right?
- 7 MR. SAUTER: Thank you, Your Honor.
- 8 THE COURT: All right. What's the next bucket?
- 9 Is this 23A regarding Dr. Long?
- 10 MR. FINDLAY: Yes, Your Honor.
- 11 THE COURT: Let's proceed to take that up.
- 12 MR. FINDLAY: Eric Findlay on behalf of the
- 13 Defendants, Your Honor.
- 14 There are a number of testing documents that both
- 15 Dr. Lang -- Dr. Long, excuse me, for Fractus and Dr. Kakaes
- 16 for CommScope had run, directed somebody to run, and
- 17 attached them to their expert reports.
- We don't have a problem with them being used as
- 19 demonstratives. That would be our proposal.
- 20 Plaintiff's proposal is that they be admitted into
- 21 evidence as exhibits. We think that's inappropriate for a
- 22 couple of reasons.
- First, this Court's default rule, as I think
- 24 you've indicated during the pre-trial conference the past
- 25 couple of days, is, as I think is the norm, that expert

- 1 reports typically don't come into evidence. This is just
- 2 part of the expert report.
- 3 Specifically on one of the examples, PX-3723,
- 4 which is a printout of a portion of one of the tests that
- 5 Dr. Long ran, it's my understanding Dr. Long didn't perform
- 6 this testing. He directed somebody else to do it.
- 7 And, again, that's completely acceptable for an
- 8 expert to do, and then he or she may rely upon that. But
- 9 we don't think it's appropriate to come in as evidence,
- 10 Your Honor. And we would submit that these should be used
- 11 as no more as demonstratives on both sides.
- 12 THE COURT: And the -- the specific evidentiary
- 13 rule that you're raising as regards the objection is what?
- 14 MR. FINDLAY: Well, I don't think it's proper
- 15 evidence. It's -- it's --
- 16 THE COURT: I don't know where that rule is in the
- 17 rules of evidence, I don't think it's proper evidence.
- 18 MR. FINDLAY: It's argument. It's opinion
- 19 evidence, so I think it's 401, 403, and I don't think it
- 20 applies. And hearsay.
- 21 Thank you.
- 22 THE COURT: Let me hear from Plaintiff.
- MR. STAMATOPOLOUS: Good morning, Your Honor.
- 24 George Stamatopolous with Kobre & Kim for Fractus.
- 25 So as regards the hearsay objections, there's

- 1 ample case law that suggests that broad data and test
- 2 results are not hearsay. They're not statements offered by
- 3 a person.
- 4 Moreover, it's verifiable material. I think it's
- 5 pretty standard that broad data is appropriate evidence.
- As regards the relevance of Dr. Long's test
- 7 results, which were, in fact -- were, indeed, performed at
- 8 his direction, this material is -- if not integral, it's
- 9 very important to proving Fractus's infringement case. And
- 10 it would be very helpful for the jury -- Mr. Findlay showed
- 11 you an excerpt of the raw data. He showed you in tabular
- 12 format a number of rows and columns, the data that was
- 13 generated by a machine when Dr. Long's testing was
- 14 performed.
- There's actually graphs that show exactly how the
- 16 various claim limitations are met, specifically with
- 17 respect to impedance and radiation patterns.
- Besides that, yeah, I think the -- I think the
- 19 hearsay objection is pretty clear that it doesn't -- it
- 20 really doesn't hold water.
- 21 THE COURT: All right. Thank you, counsel.
- MR. STAMATOPOLOUS: Thank you.
- MR. FINDLAY: Your Honor, may I?
- 24 THE COURT: Very briefly.
- 25 MR. FINDLAY: Just on -- okay, just so the record

- 1 is clear because I might have confused the issue, and if I
- 2 did, I apologize.
- 3 On the hearsay objection, Dr. Long didn't produce
- 4 these. He didn't run the tests, as I understand. He
- 5 directed somebody else to do it. So I don't think that
- 6 automatically -- I don't think it's taken out of the
- 7 hearsay objection. I think it's still appropriate.
- 8 THE COURT: I -- I gather not only did Dr. Long
- 9 perform the test, Dr. Long did not directly supervise the
- 10 test being performed?
- 11 MR. FINDLAY: Correct.
- 12 THE COURT: Some third party outside of Dr. Long's
- 13 direct supervision and purview performed the test and then
- 14 sent him this results; is that accurate?
- MR. FINDLAY: That's my understanding, yes, sir.
- 16 THE COURT: Is that accurate from Plaintiff's
- 17 standpoint?
- You know, sometimes it's not Dr. Long but it's
- 19 Dr. Long's assistant who's worked with him closely for
- 20 years and is in the same laboratory or building, and
- 21 sometimes it's a third-party testing laboratory halfway
- 22 across the country. So some more context here would be
- 23 helpful.
- 24 MR. STAMATOPOLOUS: Certainly, Your Honor.
- 25 So it was -- it was a third party. It was a third

- 1 party to which Dr. Long sent -- or rather, sent and
- 2 discussed a very elaborate testing protocol. Also,
- 3 Dr. Long visited the facility. He met with the people
- 4 performing the tests.
- 5 So we submit, Your Honor, that the -- the tests
- 6 were performed at his direction. They're reliable. And to
- 7 the extent Defendants question the reliability of the
- 8 tests, that should have been raised, I think, in a Daubert
- 9 motion, as opposed to an objection with respect to the
- 10 specific materials that we propose be admitted into
- 11 evidence.
- 12 THE COURT: All right.
- MR. STAMATOPOLOUS: Thank you.
- 14 THE COURT: I'm going to sustain the objection to
- 15 Bucket 23A. At a high level, Dr. Long's going to testify.
- 16 His report has been provided. These are matters he'll
- 17 testify directly from the stand on.
- And to the extent this supporting documentation is
- 19 helpful to the presentation of his oral testimony, he's
- 20 certainly entitled to use these exhibits attached -- or
- 21 these attachments to his report as demonstratives.
- But I don't think they should be admitted as
- 23 actual exhibits in the case. And I'll sustain Defendants'
- 24 objection to Bucket 23A.
- MR. WARD: And, Your Honor, just so we're clear,

- 1 you're sustaining the hearsay or relevance or --
- 2 THE COURT: Primarily the hearsay. There's human
- 3 input involved. This was done by somebody that is not here
- 4 to testify about what they did or how they did it.
- 5 Dr. Long may have said I want you to do X. Dr. Long wasn't
- 6 there to see that they did X. They sent back their
- 7 results. Dr. Long doesn't have personal knowledge of how
- 8 the test was done.
- 9 I think there is a -- I think there is a valid
- 10 hearsay objection here. And at a high level, I also think
- 11 that you need a strong reason to start pulling pieces out
- 12 of hundred-plus page exhibit -- reports and making them
- 13 exhibits in trial when you're going to have the witness
- 14 there who is the knowledgeable person and who's going to
- 15 testify about their conclusions and the basis for it.
- 16 All right. That objection is sustained.
- 17 Let's go on to 23B. And I assume that will also
- 18 cover Bucket 10 on the other side of the ledger, but we'll
- 19 get to that shortly.
- 20 MR. FINDLAY: Okay. Thank you, Your Honor.
- 21 THE COURT: 23B is next.
- 22 MR. FINDLAY: Yes -- yes, sir.
- These are summaries that Fractus intends to admit
- 24 as evidence, which we do not think is appropriate.
- 25 And I'll start with the rule, FRE 1006, summaries

- 1 to provide content. It does say that a proponent may use a
- 2 summary chart or calculation to prove the content of
- 3 voluminous writings, recordings, or photographs that cannot
- 4 be conveniently examined in court.
- I don't even think we get to that point, Judge,
- 6 because we would submit that these are not summaries of
- 7 voluminous writings. They are summaries of their expert's
- 8 opinion, which they want to have risen or elevated to the
- 9 level of an exhibit. And they contain argumentative and
- 10 opinion materials, as well.
- If I could show one, 5356, this is from Dr. Long's
- 12 report. It's accused product information, frequency bands,
- 13 ports, polarization, and antenna elements. And as I
- 14 understand it, Your Honor, the colors that we see here, the
- 15 squares drawn around the elements, red circles, there's
- 16 some -- it's hard to see on the ELMO. There's some blue
- 17 outlining, as well. All of that, I believe, are inputs
- 18 from Dr. Long or from counsel that prepared these with
- 19 Dr. Long. And it goes throughout the document like that.
- 20 So it is not, I think, what is classically thought
- 21 of as a summary of voluminous records.
- 22 What I was trying to find a couple of cases --
- 23 it's interesting, most of the cases on 1006, if my research
- 24 was correct, fall on the criminal side of things. But
- 25 there was one case in the Eastern District awhile back with

- 1 Judge Steger where he allowed a summary in, but it was a
- 2 summary of a 94,000-page New Drug Application to the
- 3 Federal Drug Administration in a products liability case,
- 4 something which I think seems much more at the heart of
- 5 what 1006 is designed to get at, not allowing a party to
- 6 summarize an expert's arguments. And we --
- 7 THE COURT: Mr. Findlay, hand me that document. I
- 8 can just see a part of one page on the ELMO. I'd like to
- 9 look at the entirety of it.
- 10 MR. FINDLAY: Certainly.
- 11 THE COURT: All right. I'll hand it back to
- 12 Ms. Lockhart, and you can retrieve it from her.
- MR. FINDLAY: Thank you, Your Honor.
- 14 THE COURT: Let me hear a response from Plaintiff.
- MR. STAMATOPOLOUS: So, Your Honor, the document
- 16 that Defendants object to is a summary -- we submit is a
- 17 proper summary exhibit. It doesn't contain any opinions.
- 18 Really what it does is it excerpts information from a
- 19 number of different sources that pertain to each of the
- 20 accused products, and it presents them in tabular format.
- 21 So there's -- there's about 85 products in the
- 22 case. Each one has three or four associated documents.
- 23 And the relevant information in those documents consists of
- 24 information, such as the polarization of the array, the
- 25 number of ports, the specific arrangement of the antenna

- 1 elements in an array which can be -- or rather, which can
- 2 be gleaned from drawings -- product drawings.
- 3 So the only thing that is not really a direct
- 4 excerpt from one of these types of documents are the
- 5 squares and the circles that Mr. Findlay pointed to. And
- 6 Fractus suggested yesterday during the meet and confer to
- 7 Defendants that we'd be willing to modify the exhibits to
- 8 remove those circles and squares and leave the drawing
- 9 excerpt in its original form.
- 10 We think that this would be very helpful to the
- 11 jury to summarize the information. This is information
- 12 that pertains to each and every one of the accused
- 13 products, rather than hand them thousands of pages of
- 14 documents -- not 90,000 pages like the case that
- 15 Mr. Findlay just discussed, but still several hundreds or
- 16 maybe a couple thousand pages.
- 17 THE COURT: And tell me again what the suggestion
- 18 was during the meet and confer.
- MR. STAMATOPOLOUS: The suggestion was that we
- 20 modify the exhibit, such that these circles and squares,
- 21 which were our input to show where the various antenna
- 22 elements were located on the arrays, that those be removed.
- THE COURT: Okay.
- MR. STAMATOPOLOUS: Thank you.
- 25 THE COURT: Do you have anything else,

- 1 Mr. Findlay?
- 2 MR. FINDLAY: Yes, Your Honor. Thank you.
- 3 The -- the meet and confer comment is correct, but
- 4 that's not the only objectionable part -- objectionable
- 5 part of the exhibit.
- 6 THE COURT: I gather not or that would have
- 7 resolved it.
- 8 MR. FINDLAY: Yes, Your Honor. If you look at the
- 9 fourth column, dual polarization, for some of the products,
- 10 as I understand it, that's an element of a claim, and he's
- 11 writing yes through all of that. That seems completely
- 12 argumentative. It's not something that ought to be
- 13 elevated to the level of evidence. It's fine for them to
- 14 use this as a demonstratives exhibit, and they can argue
- 15 about it that way, but for that -- for a conclusion like
- 16 that to go back to the jury, we don't think is appropriate.
- 17 And may I test Your Honor's patience with another
- 18 exhibit from this bucket?
- 19 THE COURT: All right.
- MR. FINDLAY: Thank you.
- 21 Another one -- and, again, this is from Dr. Long's
- 22 report. And this is 5366. And I -- if Your Honor wants to
- 23 see this, I can hand it up. It -- it's a printout, it's
- 24 too long of a docket to get on an eight and a half by
- 25 eleven sheet of paper. But it's looking at different

- 1 CommScope products, the models, it has specifications, it
- 2 has spacing information, but this is also an attempt -- for
- 3 all -- for the same reasons that I said for the other
- 4 exhibit, it applies here, it's argumentive, it's opinion.
- 5 They're also, we believe with this exhibit, trying to
- 6 backdoor opinions that are lacking in Dr. Long's report.
- 7 If you look at Column 3, working frequency band,
- 8 he's identifying WCS. And this particular exhibit -- I
- 9 want to make sure I get this right -- I believe is a
- 10 summary of Appendix 3 from Dr. Long's T-Mobile expert
- 11 report.
- 12 In that appendix in his report, Dr. Long never
- 13 identified WCS as a working frequency band for T-Mobile.
- 14 And there's another exhibit, 5367, which is a
- 15 similar exhibit but submitted on behalf of Verizon, if you
- 16 will. And similarly there, he identifies WCS as a working
- 17 frequency band, yet he never did that in the actual
- 18 appendix of his report.
- So it's summary, it's opinion, it's trying to be
- 20 jazzed up as -- as evidence, plus it's adding new opinions,
- 21 Your Honor. And these -- obviously, these were not
- 22 prepared specifically by Dr. Long, so I think hearsay
- 23 applies. And I think it would be prejudicial, as well.
- THE COURT: Are you aware in any way, Mr. Findlay,
- 25 that this is going to be inconsistent with what Dr. Long is

- 1 going to testify to in open court and with what's otherwise
- 2 clearly set out in his report?
- 3 MR. FINDLAY: With respect to the first one that I
- 4 showed you, 5356, no, I don't know of anything that's
- 5 inconsistent with that that will be in his testimony.
- 6 However, with the other one, 5366, that, we
- 7 submit, is inconsistent with his report because, again, it
- 8 identified this different working frequency band which he
- 9 didn't identify as T-Mobile or in T-Mobile or Verizon
- 10 products in his report.
- But -- and I guess, Your Honor, the last thing
- 12 I'll say, that goes to our larger point. It's fine for him
- 13 to argue this. It's fine for him to show it to the jury,
- 14 and they can go through it, but it should be a
- 15 demonstrative, not elevated to evidence.
- 16 THE COURT: Anything further from Plaintiff on
- 17 this?
- MR. STAMATOPOLOUS: Yes, Your Honor. As an
- 19 initial matter, the polarization column that Mr. Findlay
- 20 pointed to does contain the words -- the word "yes"
- 21 throughout. The reason it contains the word "yes"
- 22 throughout is because each and every one of the accused
- 23 products have data sheets, and each and every one of those
- 24 data sheets says that the product has polarization, plus
- 25 and minus 45 degrees in each of its arrays and in each of

- 1 its frequency bands.
- 2 So all Dr. Long is doing is putting that
- 3 information at the very top with a question mark, and then
- 4 answering in the affirmative to show that each of the data
- 5 sheets has that information in it.
- 6 Secondly, with respect to the second exhibit that
- 7 Mr. Findlay addressed, the Excel sheet of which he showed
- 8 you a printout, Dr. Long is not rendering an opinion that
- 9 T-Mobile or Verizon are operating in the particular
- 10 frequency band, WCS, that Mr. Findlay pointed out. Rather,
- 11 Dr. Long is opining that the product, in terms of its
- 12 technical -- technical capabilities, can cover that
- 13 particular frequency band.
- 14 So it's really product analysis. It's consistent
- 15 with the analysis that he performed earlier in the case in
- 16 his reports. There's -- there's no issue of inconsistency
- 17 in our opinion.
- 18 Thank you.
- MR. TOBIN: Your Honor, may I very, very briefly
- 20 offer a quick response to that?
- 21 THE COURT: On the one hand, I don't want to
- 22 curtail anybody. On the other hand, we don't have time for
- 23 everybody in the room to go to the podium and put in their
- 24 two cents. Understanding I'm not opening the door to that,
- 25 counsel, I'll give you just a very brief second to add what

- 1 you have.
- 2 MR. TOBIN: I'll be incredibly brief, Your Honor,
- 3 and thank you for the indulgence.
- A few other claim terms that are used in that
- 5 chart in the headings are terms like "antenna element,"
- 6 "array," "frequency band." Each of those are claim terms,
- 7 and so in a sense, this is Dr. Long showing the claim terms
- 8 and where the products are.
- 9 One other issue is that there's an aspect of
- 10 cherry picking where he's taking a little piece of this
- 11 schematic that's helpful but not other pieces of the
- 12 schematic.
- 13 Thank you, Your Honor.
- 14 THE COURT: Well, on the one hand, the Court's
- 15 acutely aware of the possibility of voluminous documents
- 16 being used without the ability to summarize. On the other
- 17 hand, I think the requirements of Rule 1006 preclude those
- 18 summaries being more than a representation of what's in the
- 19 underlying documents. I think what you've shown me falls
- 20 slightly on both sides of the line.
- 21 What I mean by that is the first document you
- 22 showed me, I think, with the drawings taken out, as was
- 23 proposed, is fine because it appears to be just a summary
- 24 of the underlying data and information.
- 25 The second document appears to contain opinions of

- 1 the expert which go beyond the underlying substance of the
- 2 summarized voluminous documents and falls on the side of
- 3 the line that includes argument which is not appropriate
- 4 under Rule 1006.
- 5 So at least as to what you've shown me in this
- 6 bucket, the first document with the removal of the drawings
- 7 is pre-admitted.
- 8 The second document with the commentary set forth
- 9 therein from the expert, and because of that, is not
- 10 pre-admitted.
- And if you need further guidance on remaining
- 12 documents in this group, then you need to tell me. If not,
- 13 I'll assume that's adequate, and we'll move on to the next
- 14 category.
- MR. FINDLAY: I think we can take that ruling and
- 16 work it out between the parties, Your Honor.
- 17 MR. WARD: And, Your Honor, we'll -- we'll
- 18 certainly meet with the other side and try and figure that
- 19 out. I just -- the combination of the last two rulings
- 20 with result -- with regard to the test results from the
- 21 85 accused products and now the disallowance of some of
- 22 these summaries is going to make it difficult with the time
- 23 that the Court has allowed to put on an infringement case.
- The plan was to say, here are the test results,
- 25 the impedance patterns from Product X. Did you do that

- 1 with respect to the other four -- 84 products?
- 2 Yes, I did.
- 3 Are those contained in Exhibits 2 through 85?
- 4 Yes.
- I mean, those are integral to the infringement
- 6 proofs. They were part of the expert report. I understand
- 7 your ruling. I just want to know -- it's hard for you to
- 8 see where -- in the big picture where these things are
- 9 taking us. And I just want to make you aware of that in
- 10 case -- when we get together and we might be coming to you
- 11 saying we need more time, then, if we're not going to be
- 12 able to summarize some of these voluminous documents that
- 13 Dr. Long relied upon to establish his infringement proof.
- 14 THE COURT: Well, on the one hand, you just cut
- 15 the case down to one patent. So you should be saved -- you
- 16 should have saved some time there. On the other hand, your
- 17 expert can testify to everything that's set forth in these
- 18 documents. And he can use those documents as a
- 19 demonstrative. The only issue here is should they rise to
- 20 the level of being admitted as an exhibit in the case.
- MR. WARD: And --
- 22 THE COURT: And there, I have to be constrained by
- 23 the rules of evidence.
- 24 MR. WARD: And I understand your ruling, Your
- 25 Honor. My only concern is I think we can convey all this

- 1 information to the jury. They're going to understand it.
- 2 But I fear that when this case goes up on appeal, if that's
- 3 where it ends up, they're going to say there's no evidence
- 4 of infringement with respect to every product because
- 5 Dr. Long shorthanded it during his -- his testimony. And
- 6 there's not any test result for every product. There's
- 7 only one for the one that he discussed. And he said:
- 8 Yeah, I did the same for 84 other products.
- 9 THE COURT: Well, why don't you do this, Mr. Ward?
- 10 Why don't you continue to meet and confer with the other
- 11 side, because, quite honestly, the objections I heard were
- 12 not to the substance of the underlying material. They were
- 13 to additional argument and -- and characterization added to
- 14 them. And, quite honestly, you may be able to work this
- 15 out to where they are pre-admitted if you remove those
- 16 offending categories or columns. That's really why I've
- 17 granted the objections I've granted.
- MR. WARD: I hear you, Your Honor. And we'll
- 19 continue to work on that.
- 20 THE COURT: Okay. Well, barring something
- 21 different, that's the Court's ruling on this category.
- What's the next category? Is this 27?
- MR. FINDLAY: Yes, it is, Your Honor.
- 24 THE COURT: Okay.
- 25 MR. BARTON: Ross Barton, Your Honor, on behalf of

- 1 the Defendants.
- 2 This is a different type of expert summary problem
- 3 that we have. There are two specific documents that fall
- 4 into this bucket. They are two one-page documents, and
- 5 they're marked highly confidential.
- 6 So instead of publishing on the ELMO, I'm happy to
- 7 approach and give you copies for the purposes of this
- 8 discussion, Your Honor.
- 9 THE COURT: You may approach.
- 10 MR. BARTON: So, Your Honor, taking PX-5086 for
- 11 purposes of -- of this discussion as the exemplar, this is
- 12 a document that was produced to us after the close of fact
- 13 discovery, after the close of expert discovery in
- 14 connection with an opposition to a Daubert motion.
- THE COURT: Do you have an additional copy you can
- 16 put on the ELMO?
- 17 MR. BARTON: I do.
- 18 THE COURT: I mean, these are just two single
- 19 pages.
- 20 MR. BARTON: And if I could -- well, you know,
- 21 let's just -- we'll -- we can do it for Verizon. I'll
- 22 allow it to be published for purposes of this discussion,
- 23 Your Honor. If it's moved into the record, we may need to,
- 24 you know, seal the courtroom if there's going to be
- 25 testimony about this just because the numbers on the

- 1 right-hand side.
- THE COURT: Well, I don't see any witnesses here.
- 3 I don't expect any testimony. There might be some
- 4 argument, but there's not going to be any testimony.
- 5 MR. BARTON: Fair enough.
- So, Your Honor, what we're dealing with here is
- 7 summary data that was apparently produced or prepared by
- 8 Dr. Mills. And Dr. Mills is Fractus's damages expert.
- 9 This was not part of his report. He did not discuss the
- 10 ATOLL data. And when I say ATOLL, ATOLL is a database.
- 11 It's a tool that Verizon has that keeps track with varying
- 12 degrees of accuracy of the things that are employed in
- 13 Verizon's network. It is voluminous. We would agree with
- 14 that. There are about 116 different documents -- Excel
- 15 spreadsheets that were produced in this case, with as many
- 16 as 370 columns per spreadsheet and tens of thousands of
- 17 entries.
- And what we have here is a document that was given
- 19 to us, and it turns out that it was prepared by Mr. Mills.
- 20 We only found out about that when they told us that in an
- 21 opposition to one of our motions in limine.
- What Mr. Mills purports to have done here is to
- 23 take information from the ATOLL database and show there,
- 24 for example, in Column -- or Row 1, the number of accused
- 25 antennas that operate both AWS and PCS frequency bands.

- 1 And then he has an antenna count on the right-hand column.
- There's a number of issues with this, Your Honor.
- 3 First, Federal Rule 1006 is a summary to prove content.
- 4 And I think when you look at the instances in which
- 5 summaries are properly used, those are instances where
- 6 there are, for instance, voluminous sales invoices that
- 7 show units sold, things of that nature. And someone has
- 8 gone through, aggregated those up, and then uses that to
- 9 summarize the data.
- 10 That's not what's shown here. That -- Row 1, Row
- 11 2, Row 3, those are not fields in the ATOLL database.
- 12 Those are -- those reflect Dr. -- or Mr. Mills's
- 13 conclusions regarding what the ATOLL database shows.
- 14 THE COURT: Isn't -- isn't Mr. Mills going to
- 15 testify both as a fact witness and as an expert witness in
- 16 this case, and is this going to fall within his expert
- 17 testimony, or is this going to fall within his fact
- 18 testimony?
- MR. BARTON: It cannot fall within his expert
- 20 testimony, Your Honor, because he did not discuss this in
- 21 his expert report. This would be outside the scope of his
- 22 report.
- THE COURT: So this will be presented when he
- 24 testifies as a fact witness?
- 25 MR. BARTON: That is their theory, although it's

- 1 unclear how he can possibly testify as a percipient fact
- 2 witness as to how Verizon's network is deployed and what
- 3 the contents of these documents that were produced by
- 4 Verizon actually show.
- 5 THE COURT: He's not going to be able to say I
- 6 counted the number of these, and that's how I got 87,782?
- 7 MR. BARTON: If --
- 8 THE COURT: And say I looked through all the
- 9 voluminous documents, and I counted them, and this is what
- 10 the -- is reflected.
- 11 MR. BARTON: Your Honor, there are judgment calls
- 12 reflected in here, and those judgment calls were pushed out
- 13 into the area of expert testimony. This is not simple
- 14 facts.
- 15 And -- and to get to that point, Your Honor, we
- 16 tried to reproduce this. We tried to go through and see if
- 17 we could get to the same numbers, if there was any way to
- 18 do that. And not only could we not get to those numbers,
- 19 we couldn't even figure out how to begin to do that, right?
- 20 This is not something where he just went through and
- 21 counted because these are not fields that exist.
- 22 And -- and one point that I would make with
- 23 respect to this document, is you see there the source down
- 24 at the bottom. He identifies the two things, one is the
- 25 ATOLL spreadsheets produced by Verizon, which is a Bates

- 1 range. And then two is a transcript deposition of Nicholas
- 2 Cordaro. So he's having to take some information provided
- 3 to him in the deposition transcript of a Verizon employee,
- 4 apply that, and then make judgment calls based on the
- 5 contents of this document. That is not fact testimony,
- 6 Your Honor. That is expert testimony.
- 7 And another thing that I would point out, Your
- 8 Honor, is this is not a document that was produced to us
- 9 during discovery. It was not produced to us prior to
- 10 Mr. Mills's deposition.
- So we had no opportunity to examine him on how he
- 12 got to this set of values, how he figured out what he was
- 13 counting, and what he wasn't counting.
- 14 And, for example, Your Honor, I think it's
- 15 important to note, in the ATOLL database, for example,
- 16 there are thousands of rows of entries that are identified
- 17 as junk or decommissioned. We have no idea if he counted
- 18 those. It's not clear to us. If he did, those are very
- 19 problematic. But, again, we never had an opportunity to
- 20 depose him on that.
- One additional issue, I would say, Your Honor,
- 22 with respect to T-Mobile is there's Document 5085. And for
- 23 the source data that he identified on that exhibit, in his
- 24 deposition, Mr. Mills, when testifying about the data that
- 25 T-Mobile had previously produced that he then subsequently

- 1 used as a source in this document that was created after
- 2 his deposition, he testified that that data was not
- 3 reliable.
- 4 And so it strikes us as -- as deeply problematic
- 5 for him to say now I'm fact witness and I can provide you
- 6 my opinion about Verizon's network or T-Mobile's network
- 7 and their documents as a fact witness without having to
- 8 demonstrate that he has any basis to testify as a fact
- 9 witness or giving us any opportunity to cross-examine him
- 10 on how he made the decisions he had to make in order to
- 11 come up with these numbers.
- 12 THE COURT: All right. Let me hear from the
- 13 Plaintiff.
- 14 MR. SAUTER: Ben Sauter from Kobre Kim.
- THE COURT: Go ahead, counsel.
- MR. SAUTER: So just to begin, I'm going to walk
- 17 the Court through exactly how these were calculated so that
- 18 the Court and Your Honor can see how they are, in fact,
- 19 summaries of massive spreadsheets. But before I do, some
- 20 background will be helpful, and I want to also put these
- 21 spreadsheets in context.
- 22 So the underlying data on which these summary
- 23 exhibits are based are not objected to. So Verizon agrees
- 24 that they can come in as marked exhibits. The catch is
- 25 there is no way -- it is impossible to present

- 1 understandable evidence about how the carriers are actually
- 2 using the antennas in these spreadsheets without doing a
- 3 summary exhibit, impossible.
- 4 So they will be in the record. They will be
- 5 there, relevant critical evidence that goes directly to the
- 6 heart of the defense that they are asserting in the damages
- 7 case, and I'm going to get to some more of this when I get
- 8 to the background, but they are saying, we don't use
- 9 antennas in the way that Mr. Mills is saying we use them.
- 10 There is evidence in the record that they don't
- 11 object to that contradicts that, but there is absolutely no
- 12 way to convey that information to the jury without
- 13 presenting a summary exhibit.
- 14 Now, by way of background, I'm going to explain
- 15 why this exhibit was created when it was because I --
- 16 because it's important.
- 17 So we asked in discovery, and Verizon had an
- 18 obligation to produce independently of that, information
- 19 that was relevant to this case. We asked them in
- 20 interrogatories to identify for us information about how
- 21 Verizon deploys antennas on its network.
- 22 What it produced to us initially were these ATOLL
- 23 spreadsheets, hundreds of them, all of them massive. Okay.
- 24 We got to a 30(b)(6) witness deposition of Verizon and were
- 25 told he would be able to answer questions about these

- 1 spreadsheets.
- 2 As it turned out, he wasn't. He said -- and I
- 3 have some quotes. You know, I don't know how these
- 4 spreadsheets were compiled. He said: I can't vouch for
- 5 their accuracy. So we asked on the record for the complete
- 6 spreadsheets. They were -- and what was produced to us,
- 7 some of the columns -- and I'm going to explain to the
- 8 Court in a minute -- actually weren't filled out in the
- 9 initial spreadsheets that were given to us.
- 10 So there wasn't much we could do with those
- 11 initial spreadsheets. They dragged their feet.
- We eventually got the updated spreadsheets with
- 13 all the data after Mr. Cordaro, the 30(b)(6) witness had
- 14 testified. So we weren't able to ask any questions about
- 15 them. We got them about a week before Mr. Mills's expert
- 16 report was due. We just -- I can't emphasize how big --
- 17 they crash computers, they're so big.
- So these spreadsheets weren't done before his
- 19 report was completed.
- 20 Fast forward to the Daubert briefing in this case.
- 21 For the first time -- this argument never raised in any of
- 22 the fact depositions that were taken. For the first time,
- 23 the Defendants file a Daubert motion saying Mr. Mills's
- 24 testimony should be excluded because it doesn't include
- 25 information about how the Defendants actually use antennas

- 1 on their network and that they use them on multiple
- 2 frequency bands at the same time.
- 3 Not only that, in CommScope's Daubert brief, they
- 4 say in a footnote, oh, and I understand the carriers are
- 5 going to produce their information about this and file
- 6 their own Daubert briefs contradicting it. In fact,
- 7 there's actual evidence in the record that shows the
- 8 carriers don't deploy their antennas.
- 9 Well, that actually never happened. The carriers
- 10 haven't put forth any of that evidence. Okay. The only
- 11 evidence that I'm aware of that goes to this at all is the
- 12 testimony of Mr. Zimmerman which we've already been through
- 13 and these spreadsheets, okay?
- 14 So in response to the factual contention in the
- 15 Daubert briefing that there was no evidence in the record,
- 16 and, in fact, the evidence contradicts our argument -- or
- 17 damages position that the carriers are using antennas on
- 18 multiple frequency bands. We said: Hey, is that right?
- 19 Is that really right?
- 20 So we went through these spreadsheets that we got
- 21 after Mr. Cordaro testified, right before Mr. Mills's
- 22 expert opinion was produced. We went back and looked at
- 23 them. This summary exhibit is the result of that. We put
- 24 it together quickly in the context of that Daubert, not as
- 25 a -- to fix a Daubert issue, us trying to check the facts

- 1 of what they alleged in their Daubert briefs. And we made
- 2 this exhibit. That's the background with Mr. Mills.
- We are not proposing to put it in. We're not
- 4 trying to confuse the jury that this is expert testimony
- 5 that he's opining on anything. The subjective opinion --
- THE COURT: Mr. Mills is a damages guy, right?
- 7 MR. SAUTER: Mr. Mills is our damages expert,
- 8 that -- that's correct. He's also somebody who is able to
- 9 compile these spreadsheets in a way that doesn't require
- 10 any particular expertise, but it's a massive project, and
- 11 he was able to do that.
- 12 THE COURT: I guess my point is, I'd be more
- 13 suspect if he were a technical person than as an outsider,
- 14 quote, unquote, who doesn't do this who went through the
- 15 spreadsheets and made the calculations that are reflected
- 16 in this summary.
- 17 Go ahead -- go ahead and continue with your
- 18 argument.
- 19 MR. SAUTER: Okay. So just so Your Honor can -- I
- 20 think it's important. I'm happy to -- to -- to explain for
- 21 Your Honor just -- just how we put it together.
- So I don't have the entire spreadsheet here, but I
- 23 can show --
- 24 THE COURT: Let me ask you this. Are the
- 25 underlying spreadsheets going to be pre-admitted and used

- 1 as exhibits in the trial?
- 2 MR. SAUTER: We agreed to that last night.
- 3 There's no objection to the underlying exhibits, that's
- 4 correct.
- 5 My point is it is many hundreds of them that
- 6 can't -- yes.
- 7 THE COURT: I'm not asking about either/or. I'm
- 8 asking about will we have both here?
- 9 MR. SAUTER: Yes, Your Honor.
- 10 THE COURT: Okay. Go ahead.
- 11 MR. SAUTER: This is -- as you can see, from the
- 12 column headers at the top of this document, I have, just
- 13 for purposes of illustration here, taken out some different
- 14 columns, because, like I said, it's hundreds of columns
- 15 long. These are the relevant columns for -- for these
- 16 purposes.
- 17 One of the columns, Column A in these spreadsheets
- 18 says: Site Name. You see some numbers, and you see some
- 19 letters. That's the identifier of a site, a particular
- 20 AT&T or a Verizon site. The tower that you see on the side
- 21 of the road, that's what they call it.
- Okay. Next to that there's an Antenna Model, a
- 23 given name, so SBNHH-1D45A, that's an accused antenna model
- 24 in this case. I'm going to explain in just a moment how I
- 25 got to these, walking through it. Antenna model identifies

- 1 a particular antenna model. And not only that, it
- 2 identifies a particular port within that antenna.
- 3 The next column over, Antenna Altitude, it gives a
- 4 very precise GPS coordinate of the antenna's altitude, a
- 5 precise coordinate of the antenna's longitude, precise
- 6 coordinate of the antenna's altitude, the sector in which
- 7 the antenna is located. The sector refers to whether it --
- 8 usually these antenna sites are -- you have one antenna --
- 9 there's three sides. There's one, you know, north, one
- 10 west, one east.
- 11 So sectors -- what they call Sector 1, so you know
- 12 it's sort of on that same plane. And the last column says
- 13 Band Class where they plug in a value of a particular
- 14 frequency band.
- So I'm going to try to circle right there where my
- 16 arrow is, an Excel spreadsheet, if you click that, you can
- 17 filter. So what you can do with these spreadsheets is you
- 18 can say I want to just look at what's going to at site name
- 19 N -- 0239 NM1 TAOSDT, that first segment in there. You can
- 20 filter down and just see whatever is happening there. And
- 21 after you do that, you can find -- you can figure out if
- 22 there's an accused antenna, and as it turns out in this
- 23 particular case, there is.
- 24 And after you do that, you can filter, and you can
- 25 say, well, I want to know all the antennas that are at a

- 1 particular latitude, longitude, altitude, sector.
- 2 So as you see here, you actually -- you have two
- 3 rows -- site name -- you have two rows for this TAOSOT
- 4 [sic]. Two -- the exact same antenna model, exact same
- 5 Port 6, exact same antenna altitude, exact same longitude,
- 6 exact same latitude, exact same sector. The only
- 7 difference, one is PCS and one is AWS.
- 8 So all he has done -- he has just said that's --
- 9 that's his example of this exact same antenna, exact same
- 10 port being used on two different frequency bands. He's
- 11 counted them up. Nothing else.
- I will stop there and answer any questions that
- 13 Your Honor has. The T-Mobile summary exhibit is a little
- 14 bit different, so I can walk through how we calculated that
- 15 one, as well, if Your Honor would like.
- 16 THE COURT: Let me ask you this. If the
- 17 underlying spreadsheets are admitted as pre-admitted
- 18 exhibits and if they're available and used as exhibits at
- 19 the trial, and then this is used by Mr. Mills to explain to
- 20 the jury what he came up with from those stacks of
- 21 spreadsheets, how does that harm you if this is used as a
- 22 demonstrative rather than an exhibit?
- You have the underlying data. It's in the record.
- 24 You don't have a problem with proof. And yet instead of
- 25 being forced to flip page after page after page of

- l thousands of sheets, you have as a demonstrative the
- 2 ability for him to testify as to what he did based on
- 3 those.
- 4 And by the same token, with them being
- 5 pre-admitted in the case, the other side can use them if
- 6 they think it's appropriate to cross-examine him on what
- 7 he's testified to from this summary sheet as a
- 8 demonstrative.
- 9 I'm asking Plaintiff how -- how is it materially
- 10 different for you if this is pre-admitted as an exhibit as
- 11 opposed to if it's used as a demonstrative in conjunction
- 12 with the underlying data spreadsheets?
- MR. SAUTER: Your Honor, I think that --
- 14 THE COURT: Is it -- is it really any different?
- MR. SAUTER: No, I think that would be acceptable,
- 16 Your Honor. He could testify as to what he did based on
- 17 those exhibits and this would illustrate his conclusions.
- THE COURT: What about Defendants?
- MR. BARTON: Well, Your Honor, the problem we have
- 20 with that is he didn't do this in his report. This is the
- 21 first time -- this four-step or however many step
- 22 methodology that Mr. Sauter just went through, this is the
- 23 first time we've seen this. This is not in his report. He
- 24 didn't go through the ATOLL data, and so --
- 25 THE COURT: This is not -- this is not opinion

- 1 testimony. This is factual evidence of I took these
- 2 spreadsheets. I went through them sheet-by-sheet.
- 3 This is what I -- this is what I did. This is what I
- 4 counted. And that's what's shown on this demonstrative.
- 5 That's not an opinion. That's not required to be in his
- 6 expert report.
- 7 MR. BARTON: With respect, Your Honor, we -- we
- 8 believe it is opinion because we were not able to get to
- 9 the same results. This is not something -- there are
- 10 judgment calls made all along the way. This is not simply
- 11 tabulating certain rows and certain fields and coming out
- 12 with, you know, the number of units sold, for example.
- This is, as Mr. Sauter just explained, a
- 14 multi-step process where there were decisions applied along
- 15 the way to include or exclude certain things. And if
- 16 Mr. Mills was a fact witness, I would say that they should
- 17 have identified him in their 26(a)(1) disclosures as a fact
- 18 witness. They never did that. He's always been offered
- 19 only as an expert witness. And he never provided any
- 20 opinion testimony as to this.
- 21 If they wanted Mr. Mills to do this, and you heard
- 22 Mr. Sauter go through and describe the process by which he
- 23 believes we got to this point, they could have sought to
- 24 compel the deposition of Mr. Cordaro again. They didn't do
- 25 that. They could have moved for leave to supplement

- 1 Mr. Mills's report. They didn't do that.
- Instead, they want to come in here with an exhibit
- 3 that they're offering as a factual exhibit, based on a
- 4 series of steps and methodology that Mr. Mills applied that
- 5 we don't understand. It does not appear to be kind of a
- 6 straightforward methodology.
- 7 We have no problem, Your Honor, because the ATOLL
- 8 data is coming in, right? It's been pre-admitted. That
- 9 if -- if a witness gets up for the Defendants and says,
- 10 well, they don't have evidence of X, then they can use the
- 11 ATOLL data to impeach that witness and say: Well, in fact,
- 12 the evidence shows Y, right? That's fine.
- But for their expert to stand up and affirmatively
- 14 say here's what the evidence shows, even though he never
- 15 evaluated that evidence or provided any analysis of it in
- 16 his report, we think that's improper.
- 17 THE COURT: Let me -- let me ask Plaintiff this.
- 18 Procedurally, is this testimony going to be
- 19 elicited from Mr. Mills at the same time he's offering his
- 20 expert testimony as a damages expert in this case, or are
- 21 you going to call him as a fact witness to go through his
- 22 non-expert testimony and then later in the case call him as
- 23 an expert witness to put on his damages expert testimony?
- 24 MR. SAUTER: The latter, Your Honor. And I also
- 25 want to just make one very quick clarification, which is

- 1 the T-Mobile exhibit -- T-Mobile actually reached out to us
- 2 after we did this, and we walked them through how we
- 3 calculated it. Verizon did not do that. So they have had
- 4 this exhibit for some time, and they have made a strategic
- 5 decision to not ask us about it.
- 6 THE COURT: Gentlemen, I don't want to get into
- 7 bickering about what one side did and didn't do and so
- 8 forth and so on. I think I've heard enough.
- 9 Based on the premise that the underlying
- 10 spreadsheets are without objection pre-admitted and can be
- 11 shown to the witness during the trial in which they will
- 12 become exhibits in the record, I -- and based on -- based
- 13 on that, I see no problem with the witness using these two
- 14 sheets as summaries of those voluminous records.
- I think it's also helpful that he's going to be
- 16 actually on the witness stand at two different times during
- 17 the trial, so there'll be -- there'll be less possibility
- 18 of any confusion about this being offered as expert
- 19 testimony as opposed to fact testimony.
- 20 I do think for whatever reason Defendants should
- 21 have had an opportunity to depose Mr. Mills on this
- 22 particular item.
- I'm going to -- I'm going to pre-admit PX-5086 and
- 24 5085, and I'm going to order Plaintiffs to make Mr. Mills
- 25 available for a one-hour deposition between now and the

- 1 time of jury selection, limited to these exhibits and the
- 2 underlying databases and spreadsheets that he used to
- 3 create these. All right?
- 4 MR. BARTON: Thank you, Your Honor.
- 5 THE COURT: Bucket 41 is next, carrier purchase
- 6 contracts. Let me hear from Defendants on this.
- 7 MR. FINDLAY: Thank you, Your Honor.
- 8 This, to some extent, deals with the motion in
- 9 limine that we had filed on indemnification. Your Honor
- 10 granted that as to liability that they not -- that the
- 11 Plaintiffs can't argue indemnification somehow equals
- 12 liability but denied it with some caution with respect to
- 13 possible mischief or anything like that. And I don't want
- 14 to reargue that.
- The two exhibits here -- and they are 4277 and
- 16 4788 -- are agreements between CommScope or prior companies
- 17 in interest and the carriers, one Verizon, one T-Mobile.
- 18 Plaintiffs seek to move these into evidence. We
- 19 think they are irrelevant, and we think they are highly
- 20 prejudicial for a lot of the reasons that I indicated in my
- 21 argument on the motion in limine, which I won't repeat all
- 22 those.
- But in addition to the indemnification, which I'd
- 24 like to show you in the agreement, there are other parts of
- 25 the agreement which I think would be incredibly prejudicial

- 1 if it were allowed into evidence.
- 2 And I can do it on the screen here. This is --
- 3 it's VoiceStream Wireless and Andrew Corporation, but I
- 4 understand those are actually T-Mobile and CommScope in
- 5 terms of party of interest.
- But, again, we don't think it's relevant to any
- 7 issues in the case. And if you look down at some of the
- 8 language, even in addition to indemnification, there are
- 9 issues with large numbers thrown all around. There's --
- 10 and we have the same problem. I don't know if I want to
- 11 get into too much detail with the numbers, Your Honor. You
- 12 can probably see them on the screen there. I was going to
- 13 highlight them for you, but I won't to do that because it's
- 14 highly confidential.
- 15 But big numbers are thrown around for no relevant
- 16 reason whatsoever. We would be concerned that that's what
- 17 would happen. Jury sees these numbers.
- And then just scrolling down farther, we get into
- 19 indemnification --
- 20 THE COURT: Have you discussed -- have you
- 21 discussed with opposing counsel redacting those large
- 22 numbers?
- MR. FINDLAY: No, we have not, Your Honor,
- 24 because --
- MR. WARD: I'm sorry, Mr. Findlay.

- 1 MR. FINDLAY: Go ahead.
- 2 MR. WARD: We recall making that offer last night.
- 3 There was a lot going on. We're interested in the
- 4 indemnity provisions of the contract, so --
- 5 MR. FINDLAY: And I apologize.
- 6 MR. WARD: That's okay. That's --
- 7 MR. FINDLAY: I may have -- I may have missed that
- 8 part.
- 9 THE COURT: So there's no problem from Plaintiff's
- 10 standpoint in redacting the numbers in the pricing section?
- MR. WARD: Correct, Your Honor.
- MR. FINDLAY: Well, that -- that helps. Thank
- 13 you, Mr. Ward.
- 14 THE COURT: Does that solve the problem,
- 15 Mr. Findlay, or are there still more problems?
- MR. FINDLAY: No, there's still more problems,
- 17 Your Honor. You -- you did deny the motion in limine, and
- 18 I don't want to contradict myself on what I said a second
- 19 ago. You denied the motion in limine and are going to
- 20 allow them to talk about indemnification and the
- 21 relationship between the parties generally.
- 22 This will just be in addition to -- we think not
- 23 relevant, prejudicial. It's going to be cumulative.
- 24 There's nothing in here which the parties won't hear about.
- 25 And, again, just not to belabor the point, but there are --

- 1 there's a paragraph about infringement indemnity which
- 2 specifically talks about patent infringement cases.
- 3 There's another paragraph about indemnification in general.
- 4 It talks about we'll come in and take care of everything,
- 5 we'll pay for everything, you have some rights, et cetera.
- We go down even farther, there's a whole paragraph
- 7 on insurance. If the jury sees that -- well, maybe this is
- 8 speculation, but a concern that I would have, if a jury
- 9 sees that agreement, somebody starts reading insurance,
- 10 somebody thinks, oh, heck, you know what, there must be
- 11 insurance for this somewhere. There's an insurance company
- 12 that's going to pay the damages for this patent
- 13 infringement that might arise.
- 14 It's just -- it's ramp with possibilities -- or
- 15 ripe with possibilities of confusion and doesn't add
- 16 anything substantive to their case. You told them they can
- 17 tell the story of the fact that we are indemnifying them
- 18 and the relationship between the parties.
- 19 So at the very least, I think I would request that
- 20 it not be pre-admitted. If they have a witness on the
- 21 stand and they think it somehow becomes relevant to
- 22 something, perhaps they can approach, or I would suggest
- 23 they approach and we can have that discussion at the bench,
- 24 but I don't think it's appropriate to pre-admit these.
- Thank you, Your Honor.

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1 THE COURT: What's the Plaintiff's response?
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- 2 MR. WARD: Your Honor, maybe he didn't hear me. I
- 3 know we were talking about several things.
- We're interested in the indemnity provisions. He
- 5 cited the two provisions that we're interested in showing
- 6 the jury. We can redact the entirety of the rest of the
- 7 document. We're interested in infringement, and it goes
- 8 hand in -- hand-in-hand with the indemnification, those
- 9 two -- it's indemnity for infringement, and then there's
- 10 another section dealing with indemnification. And I'm
- 11 referring to PX-4788, and it's representative of the other
- 12 documents that are in this bucket.
- 13 Your Honor has denied the limine. It is evidence
- 14 that is relevant to show bias. And, yes, we could get that
- 15 testimony potentially from a corporate representative
- 16 called adversely from any of the parties to this case, but
- 17 we should be able to rely upon the documentary evidence
- 18 that -- that shows that fact, that shows the bias that is
- 19 potentially present, and the jury should be able to
- 20 consider that.
- 21 THE COURT: All right. I'm going to pre-admit
- 22 these documents. I'm going to order that any numerical
- 23 amounts in the pricing section be redacted, and I'm going
- 24 to order that the entirety of the Paragraph 14 on insurance
- 25 be redacted. Otherwise, the document is pre-admitted.

- 1 MR. FINDLAY: Thank you, Your Honor.
- 2 THE COURT: Okay. Are we ready to move to
- 3 Plaintiff's objections as to Defendants' exhibits?
- 4 MS. RUIZ: We are, Your Honor.
- 5 THE COURT: All right. Let's start with the first
- 6 bucket on non-party technical documents. And let me hear
- 7 from Plaintiff as to their objections concerning the same.
- 8 MS. RUIZ: Gabriela Ruiz for Fractus, Your Honor.
- 9 There's only one document at issue here, Your
- 10 Honor. This is a sheet with specifications for -- a sheet
- 11 with specifications for an Allgon antenna that -- Allgon is
- 12 a non-party here, Your Honor.
- Our objection is hearsay. There's -- this
- 14 document was presented by -- it was used with an AT&T
- 15 witness in deposition, but it's not an AT&T document, and
- 16 there hasn't been any witness to overcome a hearsay
- 17 objection for business records or any other reason.
- THE COURT: What's Defendants' response?
- 19 MR. BREMER: Your Honor, Dennis Bremer.
- 20 AT&T's witness under 30(b)(6) capacity was deposed
- 21 in connection with several topics, one of which was his
- 22 knowledge because he was there at the time of AT&T's use of
- 23 antennas on different bands, the low band and the high
- 24 band, which he testified that was done in the -- certainly
- 25 by 1999. And when asked what basis he had other than his

- 1 personal knowledge from being there at the time, he
- 2 identified this document that from their files -- an Allgon
- 3 document. Allgon is a supplier of antennas, Your Honor.
- In fact, Mr. Lindmark, who was associated with the
- 5 Lindmark NRS reference in connection with summary judgment
- 6 motions a couple weeks ago, worked at Allgon at this time
- 7 period.
- 8 But the witness testified that these came from the
- 9 AT&T files, had a date mark in their files of 1999, and he
- 10 pointed to that as proof as it were that they were
- 11 employing using antennas and two bands. That's what we
- 12 intend to use it for, not that this particular antenna
- 13 worked that way. But that's what happened.
- 14 And we anticipate -- if necessary, if it can't be
- 15 pre-admitted, that perhaps we'd be able to authenticate
- 16 that in connection with Mr. Lindmark's testimony.
- 17 THE COURT: All right.
- MS. RUIZ: Your Honor, may I add something?
- 19 THE COURT: You may.
- MS. RUIZ: Your Honor, I'll note that the patterns
- 21 here are the same kind of patterns that the Court excluded
- 22 from Dr. Long's testing results. They're
- 23 machine-generated. There's been -- in this situation,
- 24 there's been no one to testify in deposition as to the
- 25 reliability of -- of those results.

- 1 And, again, Your Honor, I just reiterate that just
- 2 the fact that this document was in AT&T files does not make
- 3 it an AT&T business record. And there was no -- it was
- 4 not -- there were no questions in deposition, even if it
- 5 had been in an AT&T record, to establish it as a -- as a
- 6 business record for the hearsay exception, Your Honor.
- 7 THE COURT: All right. I'm going to sustain the
- 8 objection. I think that's consistent with my ruling on the
- 9 other side, and I think there's a risk of confusion,
- 10 whether it's AT&T or this other entity, being shown to the
- 11 jury.
- 12 Anyway, it's -- it's -- it's not pre-admitted.
- 13 Let's go to Category 10. That should already be
- 14 covered, is it not? I'm told it would rise and fall with
- 15 the other ruling.
- MR. BREMER: Yes, Your Honor.
- 17 THE COURT: Okay. So consistent with the other
- 18 ruling, those documents are not pre-admitted.
- 19 Everyone agree with that?
- MR. BREMER: Yes.
- MS. RUIZ: Yes, Your Honor.
- MR. STAMATOPOLOUS: Yes, Your Honor.
- THE COURT: Okay. Then that brings us to Category
- 24 18 of Defendants' exhibits, patents by Fractus or owned by
- 25 Fractus.

- 1 Let me hear from Plaintiff's counsel on this.
- 2 MR. STAMATOPOLOUS: Thank you, Your Honor.
- 3 There is one document in this category, DX-301 out
- 4 of the '206 patent. This is a patent that's been -- it's a
- 5 Fractus patent. It describes a type of antenna array. And
- 6 it came after -- it was issued after the patents that are
- 7 at issue -- or rather the patent that is at issue in the
- 8 case right now.
- 9 It contains language that says that the dual band
- 10 behavior to which the array of the patent is directed is
- 11 difficult to attain for a particular frequency ratio.
- 12 And the concern is that Defendants are going to
- 13 use this language to challenge -- to pose a written
- 14 description challenge to the -- to the Fractus patent at
- 15 issue in the case.
- Now, the standard for written description is
- 17 whether a person -- a person of ordinary skill in the art
- 18 would recognize that Fractus possessed the claimed
- 19 invention based on the four corners of the specification of
- 20 the patent at issue --
- 21 THE COURT: Just -- just to clarify, counsel, this
- 22 is not an elected prior art reference?
- MR. STAMATOPOLOUS: No, it is not, Your Honor.
- THE COURT: Okay.
- 25 MR. STAMATOPOLOUS: And so there's -- there's

- 1 precedent for that. There's Federal Circuit precedent.
- 2 And it's on this basis that we are objecting, that the
- 3 prejudice of this document outweighs its probative value.
- 4 And, of course, its relevance, as well.
- 5 THE COURT: 402/403. Anything else?
- 6 MR. STAMATOPOLOUS: That's it.
- 7 THE COURT: Let me hear a response from Defendant.
- 8 MR. TOBIN: Good morning, Your Honor. David Tobin
- 9 for Defendants.
- 10 First off, I'm happy -- it looks like they've
- 11 withdrawn their hearsay objection, which is -- which is
- 12 good. But, yes, it is one document.
- And, Mr. Patterson, could you put it up on the
- 14 screen, please? It's Document No. DX-1457.
- 15 And, Your Honor, a couple of things. So to deal
- 16 with the relevance issue, first off, I'll note that this is
- 17 another Fractus patent. It's by -- if you look at the
- 18 first inventor here, it's Carles Puente Baliarda, who is
- 19 the named inventor. Fractus was coming to trial -- testify
- 20 as their -- as their corporate witness. And the -- it is
- 21 squarely relevant for written description, Your Honor.
- The Defendants' experts, including Mr. Acampora,
- 23 have cited to this document multiple times as evidence of
- 24 lack of written description.
- This document was filed after the patents-in-suit,

- 1 and it actually criticizes the patents-in-suit and some of
- 2 the shortcomings in the patents-in-suit. It specifically
- 3 mentions the family of -- of the patents-in-suit and talks
- 4 about what their -- some of the disadvantages of that
- 5 patent.
- 6 So it's a statement by Fractus -- by Mr. Puente in
- 7 a government-issued document and is squarely relevant to
- 8 the written description issue, Your Honor, and enablement.
- 9 THE COURT: Show me the section of this that
- 10 criticizes directly.
- 11 MR. TOBIN: Yes, Your Honor.
- Mr. Patterson, I think, it's on Page -- around
- 13 Column -- around Column 2 at the bottom. Can you scroll up
- 14 a couple of pages, please? Can you -- I'm sorry, zoom --
- 15 zoom out?
- 16 I'm sorry, Your Honor.
- 17 I think it may be around Column -- let me go --
- 18 can I be -- it's hard to see it on this, Your Honor. May I
- 19 be excused for a moment?
- THE COURT: You -- you may.
- 21 MR. TOBIN: Sorry to waste your time. Thank you.
- Your Honor, thank you for your indulgence. I
- 23 apologize for that.
- 24 But we are looking at -- I believe this is Column
- 25 2, around Lines 25 to 27, Your Honor. And this document

- 1 was directly addressed in the expert reports, and it was
- 2 brought up at the deposition of their corporate witness,
- 3 Dr. Puente. It wasn't challenged then, but the part I'm
- 4 looking at is around Line 26, Column 2. Do you see, Your
- 5 Honor, where it says PCT/ES/99/00343? That's the parent
- 6 application that led to all the asserted interlaced patents
- 7 in this case. And it talks about how it is difficult to
- 8 achieve a dual band behavior following the description of
- 9 the family of the patents-in-suit.
- 10 And one of the arguments that had been raised by
- 11 Defendants' experts, Your Honor, using this document
- 12 multiple times, have not been challenged, is that the
- 13 patents-in-suit do not enable and do not provide written
- 14 description for multiband behavior using the teachings of
- 15 that patent.
- 16 And this is a Fractus admission about the
- 17 teachings of its own patent that has been discussed
- 18 multiple times in the Defendants' expert reports. And this
- 19 is really, I think, Your Honor, an attempt to challenge
- 20 some of the expert opinions at this late stage that have
- 21 not been challenged before.
- THE COURT: I didn't follow that. An attempt by
- 23 whom to challenge expert opinions that haven't been
- 24 challenged before?
- MR. TOBIN: I'm sorry, Your Honor, for -- for

- 1 not -- an attempt by Fractus. Again, this specific passage
- 2 wasn't just cited in a footnote of some expert reports,
- 3 Your Honor, it was discussed repeatedly in the text of
- 4 Defendants' invalidity expert reports for written
- 5 description and enablement -- lack of enablement.
- 6 THE COURT: Do you have anything else for me?
- 7 MR. TOBIN: Not at this time, Your Honor.
- 8 THE COURT: Does Plaintiff have anything else on
- 9 this?
- 10 MR. STAMATOPOLOUS: Your Honor, Defendants'
- 11 experts can certainly testify using the document to show
- 12 that it was difficult to attain multiband behavior, dual
- 13 band behavior using the Fractus patent at issue in the
- 14 case.
- However, it would be extremely prejudicial to
- 16 actually admit this document into evidence that the jury
- 17 can then go back to and use this somewhat, we submit, if
- 18 not cryptic, then definitely not conclusive statement as to
- 19 whether the patents -- or rather the claimed inventions are
- 20 enabled in the patent.
- 21 Thank you.
- 22 THE COURT: I'm going to sustain the objection.
- 23 This is not pre-admitted. It can be used by the expert as
- 24 a demonstrative during their testimony. I think there is
- 25 some risk of confusion to the jury, given that this is a

- 1 Fractus patent and the lawsuit is based upon a Fractus
- 2 patent. I don't find it to be unusual that a later patent
- 3 would say it's an improvement on what came before it. I
- 4 don't think that's necessarily relevant to whether or not
- 5 the prior patent meets the enablement and written
- 6 description requirements of the Patent Act.
- 7 So I think there is some reduced, if more than
- 8 minimal relevance. I think there's some risk of confusion,
- 9 and I don't think the Defendant is materially prejudiced by
- 10 using it only as a demonstrative rather than to admit it as
- 11 an exhibit. So for those reasons, at least, I'll sustain
- 12 the objection.
- 13 All right. Are there other exhibits in dispute
- 14 that we've not taken up and considered?
- MR. BREMER: I think not, Your Honor. But may I
- 16 consult with my colleague?
- 17 THE COURT: Please consult. It's better to find
- 18 out now than later.
- Counsel, do you need some more time, or do we know
- 20 where we are?
- 21 MS. RUIZ: Your Honor, may I --
- THE COURT: Please.
- MS. RUIZ: Your Honor, we don't have any further
- 24 disputes for the Court to take up on the exhibits.
- 25 We just wanted to clarify that on the meet and

- 1 confer last night, the parties entered into several
- 2 agreements to resolve the buckets, and so we're going to be
- 3 working together to -- to -- to put -- to put together our
- 4 exhibit list, make sure they're accurate, exchange them,
- 5 that we're complying with those agreements with each other.
- 6 There are a couple of agreements that I'd like to
- 7 put on the record, if that's acceptable to the Court.
- 8 THE COURT: All right. What agreements do you
- 9 have?
- MS. RUIZ: On -- on Bucket -- let's see, Bucket 12
- 11 of Plaintiff's objections to Defendants' exhibits, those
- 12 were the Fractus claim charts. There were certain exhibits
- 13 in there that were claim charts for the -- the Samsung --
- 14 the products that Samsung sold to Sprint which relate to
- 15 marking.
- The parties are going to work out a stipulation
- 17 with respect to Fractus's position that we are not
- 18 disputing that those are patented articles under Section
- 19 287(a), and so we're working on the exact language, but
- 20 Defendants agreed to withdraw those claim charts based on
- 21 the agreement that we would be entering into that
- 22 stipulation.
- 23 THE COURT: All right. Defendant concur with that
- 24 representation?
- MR. BREMER: Yes, Your Honor.

- 1 THE COURT: All right. Do you have other
- 2 agreements, Ms. Ruiz?
- 3 MS. RUIZ: Yes, Your Honor. Defendants'
- 4 objections to Plaintiff's exhibits on Bucket 4, this is for
- 5 carrier -- related to carrier revenue. There was an
- 6 agreement that the exhibits are subject to the Court's
- 7 order on the motion in limine on this issue and that
- 8 Fractus will redact the exhibits accordingly, and
- 9 Defendants will review those redactions.
- 10 On Bucket 8, relating to expert testimony on use,
- 11 there was an agreement that the exhibits must be in the
- 12 expert report in order to come in, and that Fractus will
- 13 redact, according to the Court's ruling on relevant MILs.
- 14 On Bucket 12, file type, there was an agreement
- 15 that Fractus -- to the extent Fractus intends to use any
- 16 files that Defendants cannot view, Fractus would provide
- 17 software for Defendants to view those files.
- And also to the extent any exhibit may be
- 19 incomplete and Defendants identify those for Fractus,
- 20 Fractus will provide complete exhibits in order for them to
- 21 be pre-admitted or to remain on the exhibit list.
- 22 THE COURT: All right. Do Defendants concur with
- 23 that representation?
- MR. BREMER: Yes.
- 25 MS. RUIZ: On Bucket 13 which was relating to AT&T

- 1 documents, the parties agreed that the stipulation that --
- 2 that we entered into yesterday would govern those
- 3 documents, and specifically Ms. -- PX-91 was withdrawn,
- 4 but -- but the general stipulation is that yesterday's
- 5 stipulation governs that bucket.
- I believe that's the extent of our agreements that
- 7 we wanted to put on the record.
- 8 THE COURT: Do Defendants concur with that last
- 9 agreement as Ms. Ruiz recited it regarding Bucket 13?
- 10 MR. BREMER: Yes, exhibits governed by the
- 11 stipulation -- it will be governed by the stipulation and
- 12 pre-admitted, but Exhibit 91 will be withdrawn.
- 13 THE COURT: All right. Are there other agreements
- 14 that need to be recited into the record?
- 15 MS. RUIZ: I don't believe so, Your Honor.
- MR. BREMER: No, Your Honor.
- 17 THE COURT: All right. Then I'll direct the
- 18 parties to work with the courtroom deputy to see that a
- 19 complete and finalized universe of pre-admitted exhibits
- 20 are prepared and delivered and available before the trial
- 21 begins.
- 22 Let me just make it clear. Whatever Ms. Lockhart
- 23 tells you is what I want you to do, okay?
- 24 Are there other matters related to pre-trial that
- 25 need to be raised with the Court?

- 1 Mr. Ward?
- 2 MR. WARD: One -- one item, Your Honor. We just
- 3 really need a deadline or hopefully the Court will give us
- 4 a deadline for identifying those witnesses that will be
- 5 called live at trial.
- 6 There are currently 31 witnesses on the
- 7 Defendants' may call list who are designated as live
- 8 witnesses. There are others that are designated as
- 9 deposition. And we can obviously deal with the deposition
- 10 folks, but it's difficult to prepare our trial when there's
- 11 31 folks on that may call who are live witnesses.
- 12 And we've got ours pared down to eight that are
- 13 live witnesses on the may call. We told them who our will
- 14 calls are. And we've been meeting and conferring and
- 15 brought this up multiple times. So we're hoping the Court
- 16 would say by X date, let's have an exchange of who really
- 17 is a potential live witness.
- THE COURT: What do Defendants say about this?
- 19 What do you think is realistic for you to be more specific?
- 20 MR. FINDLAY: I think I would ask for -- let us
- 21 confer for several days. Perhaps by Wednesday of next
- 22 week, we get back with a much more pared down, ready-to-go
- 23 list, Your Honor. That -- that's a week and a day before
- 24 trial begins, which I think is adequate time.
- 25 THE COURT: This is Friday.

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1 MR. FINDLAY: Yes, sir.
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- THE COURT: Tuesday at 5:00 p.m.
- 3 MR. FINDLAY: Understood.
- 4 THE COURT: All right?
- 5 MR. FINDLAY: Will do. Thank you, Judge.
- 6 MR. WARD: Thank you, Your Honor.
- 7 THE COURT: Any other matters that we need to take
- 8 up?
- 9 Mr. Kubehl?
- 10 MR. KUBEHL: Good morning, Your Honor.
- I appreciate the -- Your Honor is not taking up
- 12 exhibit issues with respect to the T-Mobile and Verizon
- 13 cases that may occur in the future. We have done a lot of
- 14 work, both the Plaintiff and T-Mobile and Verizon to --
- 15 THE COURT: It's my sincere hope that what you've
- 16 seen and observed with regard to the CommScope case will
- 17 inform you as to those later cases, and we can save a lot
- 18 of the time that's been expended in this instance.
- 19 MR. KUBEHL: Yes, Your Honor. My -- my point is
- 20 that a lot of that work has been done, and there's been a
- 21 lot of horse trading that's happened in -- between the
- 22 CommScope, the T-Mobile, and the Verizon cases where
- 23 accommodations have been made, and we've got a collection
- 24 of exhibits where there are no objections in the T-Mobile
- 25 case. And I expect in the Verizon case, as well.

- 1 And I would just like a memorialization that to
- 2 the extent we have those agreements and exhibits that are
- 3 not objected to, that those would be deemed pre-admitted so
- 4 that we don't have a situation where we've made
- 5 accommodations and horse trades in the CommScope case that
- 6 have affected the T-Mobile case, and then later those are
- 7 rethought and -- and new objections are raised. If -- if
- 8 they're not objected to now, it seems like they ought to be
- 9 considered pre-admitted.
- And we've given them a list of those exhibits. We
- 11 can work with them to make sure that that is the correct
- 12 list, and we've proposed to submit that to the Court with
- 13 the recognition that there are still some exhibits to work
- 14 on.
- THE COURT: What's the Plaintiff say?
- 16 MR. WARD: Your Honor, we're obviously willing to
- 17 work with the other side. We were here until almost
- 18 midnight last night on the CommScope case, so we haven't
- 19 had a chance to -- we're struggling with this exhibit list.
- 20 We're happy to work with them. We're not going to renege
- 21 on agreements that we've made. And I don't foresee a
- 22 problem. So we'll submit that list once we have it
- 23 prepared and are ready to do it.
- 24 THE COURT: I would be very up -- very surprised
- 25 and disappointed if there were backtracking going on. By

- 1 the same token, I understand Plaintiff's reluctance to say
- 2 absolutely beyond all doubt right now these are locked in
- 3 right now, given the focus has been solely in the Comm --
- 4 on the CommScope case. I think just like some of these
- 5 other matters, you ought to have your own deadline or I'll
- 6 give you one by which you can put a finalization on that.
- 7 It shouldn't wait. It should go ahead and get done. But
- 8 I'm not trying to say Plaintiffs have to say now -- you
- 9 know, yes or no now and forever right now as you stand
- 10 here.
- 11 MR. WARD: Thank you, Your Honor.
- 12 THE COURT: If you all need some guidance from me
- 13 on getting a deadline by which you get that done, let me
- 14 know. Otherwise, I'll assume good lawyers will take care
- 15 of it and not bring it back to me as a problem.
- 16 MR. KUBEHL: I hope we'll prove ourselves as good
- 17 lawyers, Your Honor.
- 18 MR. WARD: We understand your direction. Thank
- 19 you, Your Honor.
- 20 THE COURT: Okay. All right. Are there other
- 21 matters related to anything concerning pre-trial in the
- 22 CommScope case that haven't been taken up yet and need to
- 23 be?
- MR. WARD: Nothing from the Plaintiff.
- 25 MR. FINDLAY: Nothing from CommScope.

- 1 THE COURT: Then that will complete the pre-trial
- 2 process.
- 3 Counsel, as I told you yesterday in chambers, I
- 4 look forward to a civil, professional, and interesting
- 5 trial. There's a lot of evidence. There are a lot of
- 6 witnesses.
- 7 The Court expects counsel to work toward a common
- 8 goal of making this trial clear and uninterrupted for the
- 9 jury, and I have every confidence that that will be what we
- 10 have.
- 11 I will see you -- if not before on other matters,
- 12 I will see you for jury selection on the 3rd of October.
- 13 The Court stand in -- Mr. Ward?
- MR. WARD: I'm sorry, Your Honor.
- One question, and I meant to ask this earlier. Is
- 16 there a time by which we can get the list of the panels for
- 17 the venire and the jury questionnaires in advance?
- THE COURT: Same process as always, Mr. Ward. You
- 19 need to work with the deputy in charge. She typically --
- 20 on a Monday morning jury selection, those typically are
- 21 made available Thursday. This is a Thursday jury
- 22 selection. Let Ms. Clendening work with you on a similar
- 23 early delivery of that information.
- MR. WARD: Thank you, Your Honor.
- 25 THE COURT: Okay. We stand in recess.

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COURT SECURITY OFFICER: All rise.
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             MR. FINDLAY: Thank you, Your Honor.
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            (Hearing concluded.)
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CERTIFICATION I HEREBY CERTIFY that the foregoing is a true and correct transcript from the stenographic notes of the proceedings in the above-entitled matter to the best of my ability. /S/ Shelly Holmes 9/25/19 SHELLY HOLMES, CSR, TCRR Date OFFICIAL REPORTER State of Texas No.: 7804 Expiration Date: 12/31/20